



06/07/01

UTILITY PATENT APPLICATION TRANSMITTAL UNDER 37 C.F.R. §1.53(b)

ASSISTANT COMMISSIONER FOR PATENTS

Box PATENT APPLICATION

Washington D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of
 INVENTOR OR APPLICATION IDENTIFIER: Ian Edward DAY
 FOR: AN ELECTRO-OPTIC DEVICE

Enclosed are:

1. ☒ 11 pages of specification, claims
 2. ☒ 8 sheets of FORMAL drawing.
 3. ☒ 2 pages of newly executed Declaration & Power of Attorney (copy or original).
 4. ☒ Priority Claimed to United Kingdom Appln. No(s). 0019771.5, whose entire disclosure is incorporated herein by reference.
 5. ☐ Applicant claims Small Entity Status.
 6. ☐ Information Disclosure Statement, Form PTO-1449 and reference.
 7. ☒ Assignment Papers for Bookham Technology plc (cover sheet, assignment & assignment fee).
 8. ☐ Certified copy of _____.
 9. ☒ Two (2) return postcards.
 ☒ Stamp & Return with Courier.
 ☒ Prepaid Postcard-Stamped Filing Date & Returned with Unofficial Serial Number.
 10. ☒ Authorization under 37 C.F.R. §1.136(a)(3).
 11. ☐ Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)
 12. ☒ Other: Preliminary Amendment

CLAIMS AS FILED

For	No. Filed		No. Extra	Rate	Fee
Total Claims	20	- 20	0	X \$18.00	0
Indep. Claims	2	- 3	0	X \$80.00	0
Multiple Dependent Claims (If applicable)				X \$270.00	
BASIC FEE					\$710.00
TOTAL FILING FEE					\$710.00

This is a Continuation-in-part (CIP) of prior application No: _____ filed _____. Incorporation By Reference-The entire disclosure of the prior application is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

☐ Amend the specification by inserting before the first line the sentence:

--This application is a continuation-in-part of Application Serial No. _____ filed _____.--

☒ A check in the amount of \$ 710.00 (Check # 539) is attached.

☐ Please charge my Deposit Account No. 16-0607 in the amount of \$ _____. A duplicate copy of this sheet is enclosed.

☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 16-0607. A duplicate copy is enclosed.

☒ Any additional filing fees required under 37 C.F.R. 1.16.

☒ The Commissioner is hereby authorized to charge payment of following fees during the pendency of this application or credit any overpayment to Deposit Account No. 16-0607. A duplicate copy of this sheet is enclosed.

☒ Any patent application processing fees under 37 C.F.R. 1.17.

☒ Any filing fees under 37 C.F.R. 1.16 for presentation of extra claims.

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